

Kim

From: mbb <mbb@tvvlaw.com>
Sent: Monday, November 09, 2020 3:02 PM
To: Kim
Subject: FW: Acknowledgement Notice Re: 2020 General Information Sheet - Coal Asia Holdings, Incorporated (CAHI)

From: Maria Theresa D. Mabuyo <mtdmabuyo@sec.gov.ph>
Sent: Wednesday, July 22, 2020 1:39 PM
To: mbb@tvvlaw.com
Subject: Acknowledgement Notice Re: 2020 General Information Sheet - Coal Asia Holdings, Incorporated (CAHI)

Dear Customer,

SUCCESSFULLY ACCEPTED
(subject to verification and review of form and content of the attached document)

This serves as acknowledgement confirmation. Please print and submit together with the hard copies of the document.

Note: If the email is received on a Saturday, Sunday or holiday (non-working day) the receipt date shall be on the next working day.

Thank you.
SEC ICTD

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COAL ASIA HOLDINGS INCORPORATED		DATE REGISTERED: 11-Jun-12
BUSINESS/TRADE NAME: N/A	FISCAL YEAR END: 31-Dec	
SEC REGISTRATION NUMBER: CS201210314	CORPORATE TAX IDENTIFICATION NUMBER (TIN) 008-297-271	
DATE OF ANNUAL MEETING PER BY-LAWS: Every 30th day of April	WEBSITE/URL ADDRESS: www.coalasiaholdings.com	
ACTUAL DATE OF ANNUAL MEETING: 8-Jul-20	E-MAIL ADDRESS: rosanna.desiderio@titanmining.com	
COMPLETE PRINCIPAL OFFICE ADDRESS: 3rd Floor, JTKC Center, 2155 Don Chino Roces Avenue, Makati City	FAX NUMBER: 8810-3536	
COMPLETE BUSINESS ADDRESS: 3rd Floor, JTKC Center, 2155 Don Chino Roces Avenue, Makati City	NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Reyes Tacandong & Co. / Arthur Vinson U. Ong	SEC ACCREDITATION NUMBER (if applicable): 1752-A Group A
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To engage in the business of a holding company	TELEPHONE NUMBER(S): 8818-6772	GEOGRAPHICAL CODE: 137602000

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Titan Mining & Energy Corporation	CS200817734	3rd Floor, JTKC Center, 2155 Don Chino Roces Avenue, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

COAL ASIA HOLDINGS INCORPORATED

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes

☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☒ None of the above

Describe nature of business:

To engage in the business of a holding company

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes

☒ No

GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **COAL ASIA HOLDINGS INCORPORATED**

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	5,000,000,000	1.00	5,000,000,000.00
				-
TOTAL		5,000,000,000	TOTAL P	5,000,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	27	Common	3,991,161,994		1.00	3,991,161,994.00	99.78%
TOTAL			3,991,161,994			3,991,161,994.00	99.78%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	1	Common	8,838,010		1.00	8,838,010.00	0.22%
Percentage of Foreign Equity :			TOTAL	8,838,010		8,838,010.00	0.22%
TOTAL SUBSCRIBED P						4,000,000,004.00	100.00%

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	27	Common	3,991,161,994	1.00	3,991,161,994.00	99.78%
TOTAL			3,991,161,994		3,991,161,994.00	99.78%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	1	Common	8,838,010	1.00	8,838,010.00	0.22%
TOTAL			8,838,010		8,838,010.00	0.22%
TOTAL PAID-UP P					4,000,000,004.00	100.00%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **COAL ASIA HOLDINGS INCORPORATED**

DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. HARALD R. TOMINTZ 134 Valero Street, San Lorenzo Village, Makati City	Austrian	N	C	M	Y	Chairman	N/A	123-069-283
2. JOHNSON A. SANHI, JR. 1439 Ibarra Street, Sampaloc, Manila	Filipino	N	M	M	Y	President & Chief Operating Officer	N/A	105-618-140
3. DEXTER Y. TIU 37 Urdaneta Avenue, Makati City	Filipino	Y	M	M	Y	Treasurer	N/A	106-218-920
4. ERIC Y. ROXAS 26 Castillo Street, Corinthians Gardens, Quezon City	Filipino	Y	M	M	Y	N/A	RA/M	106-207-301
5. JUAN KEVIN G. BELMONTE 27 Cruzada Street, Urdaneta Village, Makati City	Filipino	N	I	M	Y	N/A	CG/M	102-081-718
6. ARISTIDES S. ARMAS 3261 Bansalangen Street, United Parañaque Subdivision, Parañaque City	Filipino	N	I	M	Y	N/A	RA/C, CG/M	105-802-254
7. ARSENIO M. BARTOLOME III Amber Place, 67 Bayani Road, Fort Bonifacio, Taguig City, Metro Manila 1630	Filipino	N	I	M	Y	N/A	CG/C, RA/M	110-179-356
8. A. BAYANI K. TAN 57 Athena Loop, Palladium, Shaw Boulevard, Mandaluyong City	Filipino	Y	N	M	Y	Corporate Secretary	N/A	102-054-041
9. ANN MARGARET K. LORENZO 4 A. Marcelo Street, Dalandanan, Valenzuela City	Filipino	N	N	F	N	Assistant Corporate Secretary	N/A	274-323-370
10. ROLANDO P. DOMINGO 10 Eagle Street, St. Francis Village, Meycauyan City, Bulacan	Filipino	N	N	M	N	Chief Financial Officer (OIC)	N/A	105-777-482
11. ENRIQUE C. PAYAWAL 35 Poblete Street, Executive Village, BF Homes, Parañaque City	Filipino	N	N	M	N	Vice President - Exploration	N/A	119-171-414
12. ROSANNA T. DESIDERIO 5 D. Lopez Jaena Street, Tañong, Marikina City	Filipino	N	N	F	Y	Accounting Manager/Investor Relations Officer/ Compliance Officer	N/A	111-575-187
13.								
14.								
15.								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

COAL ASIA HOLDINGS INCORPORATED

TOTAL NUMBER OF STOCKHOLDERS:

28

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

26

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

3,933,426,136

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. Please see attached Annex "A"	Common					
	TOTAL					
2.	Common					
	TOTAL					
3.	Common					
	TOTAL					
4.	Common					
	TOTAL					
5.	Common					
	TOTAL					
6.	Common					
	TOTAL					
7.	Common					
	TOTAL					
8.	Common					
	TOTAL					
9.			-			
	TOTAL	-	-			
10.						
	TOTAL	-	-			
TOTAL SHARES & AMOUNT SUBSCRIBED		-	4,000,000,004.00	100.00%		
TOTAL AMOUNT OF PAID-UP CAPITAL						-

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET STOCK CORPORATION

----- PLEASE PRINT LEGIBLY -----

CORPORATE NAME:

COAL ASIA HOLDINGS INCORPORATED

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	3,200,000,000.00	Share swap upon incorporation
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	
1.5 OTHERS	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N/A		
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
N/A		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR:		(83,650,143.00)
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:		CASH DIVIDEND
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	N/A	
5.2 STOCK	N/A	
5.3 PROPERTY	N/A	
TOTAL	-	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:		N/A
DATE	NO. OF SHARES	AMOUNT
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: N/A		
NAME OF AGENCY:	SEC	BSP
		IC
TYPE OF LICENSE/REGN.	Permit to Sell	
DATE ISSUED:	8-Oct-12	
DATE STARTED OPERATIONS:	Jun-12	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES
Php3,000,000.00	6	5
(For Directors and Executive Officer)		11

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **ANN MARGARET K. LORENZO**, Assistant Corporate Secretary of **COAL ASIA HOLDINGS INCORPORATED**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

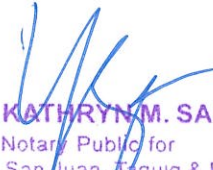
I further attest that I have been authorized by the Board of Directors to file this GIS with the SEC.

I understand that the Commission may place the Corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this JUL 21 2020 day of July 2020 in Pasig City, Metro Manila.


ANN MARGARET K. LORENZO
(Signature over printed name)

JUL 21 2020
SUBSCRIBED AND SWORN TO before me in Pasig City, Metro Manila on ____ day of July 2020, affiant exhibiting to me her Philippine Passport No. P9475373A issued on 09 November 2018 at DFA NCR East which expires on 08 November 2028 as competent evidence of her identity.


YSABEL KATHRYN M. SANTOS
Notary Public for
Pasig City, San Juan, Taguig & Pateros
Appointment No. 281 (2019-2020)
Commission Expires on December 31 2020
2704 East Tower PSE Centre, Exchange Road
Ortigas Center, 1605 Pasig City
PTR No. 2968657 / 01 08.20 Mandaluyong
IBP LRN No. 016949 / 06.28.2019 / RSM
Roll of Attorneys No. 70409
MCLEC No. VI-0017136 / 01 10 19

Doc. No. C. 221 ;
Book No. 46 ;
BOOK NO. II ;
Series of 2020 .

File No.: ABKT\JCN\AMKL\MBB 1356-200

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:	CS201210314
CORPORATE NAME:	COAL ASIA HOLDINGS INCORPORATED

Instructions:

Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.

Fill in the required information on the beneficial owner in the fields provided for.

In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.

If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).

Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category	Description
A	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
B	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
C	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
D	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
E	Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
F	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
G	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
H	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
I	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Sanhi, Johnson, A., Jr.	1439 Ibarra Street, Sampaloc, Manila	Filipino	7/21/1964	105-618-140	0.000025%	D	I - President and Chief Operating Officer of Coal Asia Holdings, Inc.

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

COAL ASIA HOLDINGS INCORPORATED

List of Top 20 Stockholders

As of 30 June 2020

Annex "A"

Rank	Stockholders Name	Nationality	TIN	Total Shares	Percentage (%)
1	PCD NOMINEE CORP.	FILIPINO	004-774-849	784,449,872	19.61%
2	STERLING BANK OF ASIA - TRUST GROUP FAO DEXTER Y. TIU	FILIPINO	908-345-702	640,000,000	16.00%
3	STERLING BANK OF ASIA - TRUST GROUP FAO JTKC EQUITIES	FILIPINO	908-345-702	640,000,000	16.00%
4	STERLING BANK OF ASIA - TRUST GROUP FAO ALEXANDER Y. TIU	FILIPINO	908-345-702	640,000,000	16.00%
5	STERLING BANK OF ASIA - TRUST GROUP FAO GERTIM G. CHUAHONG	FILIPINO	908-345-702	640,000,000	16.00%
6	STERLING BANK OF ASIA - TRUST GROUP FAO ERIC Y. ROXAS	FILIPINO	908-345-702	640,000,000	16.00%
7	PCD NOMINEE CORP.	NON-FILIPINO	004-774-849	8,838,010	0.22%
8	YAN, LUCIO W. YAN &/OR CLARA Y.	FILIPINO	102-646-906	4,000,000	0.10%
9	WILLIAM RAGOS ENRIQUE II	FILIPINO	942-332-919	1,200,000	0.03%
10	QUIBIN, ALEXANDER C.	FILIPINO	917-502-213	570,000	0.01%
11	TACUR, PACIFICO B.	FILIPINO	139-463-057	400,000	0.01%
12	ENRILE, WILLIAM ENRILE &/OR NELY ENRILE &/OR EDWIN	FILIPINO	102-705-183	100,000	0.00%
13	SUCALDITO, ENRIQUE L.	FILIPINO	111-805-138	100,000	0.00%
14	MARANA, MIGUEL D. MARANA OR CENON BIENVENIDO D.	FILIPINO	57-681-777	95,000	0.00%
15	ROSELLER A. MENDOZA	FILIPINO	109-304-079	65,000	0.00%
16	COMIA JR., JUAN D.	FILIPINO	281-200-722	50,000	0.00%
17	PUNO, CHRISTOPHER	FILIPINO	301-990-663	30,000	0.00%
18	MARANA, CENON BIENVENIDO D. MARANA &/OR MIGUEL D.C	FILIPINO	127-374-650	40,000	0.00%
19	PUNO, ORPHA C.	FILIPINO	232-798-250	22,000	0.00%
20	ASUNCION, ALEXANDER G.	FILIPINO	118-568-566	15,000	0.00%
21	Others	FILIPINO		25,122	0.00%
Total				4,000,000,004	100%